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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/574,219

10/03/2006

Ulrike Schulz

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EXAMINER

KARPINSKI, LUKE E

ART UNIT

PAPER NUMBER

1616

NOTIFICATION DATE

DELIVERY MODE

11/27/2009

ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

gbpatent@gbpatent.com
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Office Action Summary	Application No. 10/574,219	Applicant(s) SCHULZ ET AL.	
	Examiner LUKE E. KARPINSKI	Art Unit 1616	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 09 July 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 46-75 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 46-75 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>07/06/2009</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Receipt of amendments, arguments, and remarks filed 7/09/2009 is acknowledged.

Claims

Claims 1-45 are canceled.

Claims 46-75 are new, pending, and under consideration in this action.

Rejections

Rejections and/or objections not reiterated from previous office actions are hereby withdrawn. The following rejections and/or objections are either reiterated or newly applied. They constitute the complete set presently being applied to the instant application.

New Rejections

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Applicant recites 'wherein the microemulsion is **obtainable** by'. This does not describe how the microemulsion is made, only one possible process. The examiner suggests that applicant use 'obtained by' instead in order to overcome this rejection.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Applicant Claims
2. Determining the scope and contents of the prior art.
3. Ascertaining the differences between the prior art and the claims at issue, and resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein

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were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

1. Claims 46-61 and 64-75 are rejected under 35 U.S.C. 103(a) as being unpatentable over International Publication WO/2003/039505 to Banowski et al. For prosecution US Patent 7,294,330 to Banowski et al. will be used as an English language equivalent.

Applicant Claims

Applicant claims a composition comprising an aluminum antiperspirant compound, an alpha-hydroxycarboxylic acid, specifically mandelic acid, and water.

Applicant further claims aluminum chlorohydrate, ratios and percentages of said components, an O/W microemulsion, a microemulsion gel, said emulsion comprising less than 20% emulsifier and a low volatility oil phase, types of emulsifiers, said composition having a yield point, application to the skin, and an antiperspirant comprising a transparent hydrogel.

Determination of the Scope and Content of the Prior Art (MPEP §2141.01)

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Banowski et al. teach antiperspirant compositions comprising an aluminum antiperspirant (col. 15, lines 33-44), an alpha-hydroxycarboxylic acid (abstract, col. 2, lines 5-15, and col. 4, line 64), and water (examples), as pertaining to claims 46, 68, and 74.

Banowski et al. further teach aluminum chlorohydrate (col. 15, lines 33-44) as pertaining to claims 47, 48, 68, and 74, 1-40% antiperspirant compound (col. 15, lines 51-57), as pertaining to claims 49-54, 69, and 70, 0.001-10% alpha-hydroxycarboxylic acid (col. 5, lines 9-15), as pertaining to claims 49-51, 55, 56, 69, and 70, O/W microemulsions (col. 10, line 45) and gels (col. 10, line 34), as pertaining to claims 57-61 and 71, 0.5-15% emulsifiers (col. 15, lines 29-32) as pertaining to claim 59, oil components with low volatility (col. 12, lines 23-64), as pertaining to claim 60, addition products of ethylene oxide or propylene oxide on linear fatty alcohols, which reads on polyethoxylated and polypropoxylated emulsifiers (col. 13, line 55 to col. 15, line 1), as pertaining to claims 61 and 63, application to the skin (col. 10, line 24), as pertaining to claim 66, antiperspirant formulations (entire disclosure and examples), as pertaining to claim 72, and transparent emulsions, which read on a transparent hydrogel (col. 10, lines 54-55), as pertaining to claim 73.

Ascertainment of the differences between the prior art and the claims

(MPEP 2141.01)

Banowski et al. do not explicitly disclose an example wherein the claimed

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components, at the claimed percentages are combined into a single composition.

However, Banowski et al. do teach that all of the claimed components may be combined into a composition within the claimed percentage ranges.

Finding of prima facie Obviousness Rational and Motivation
(MPEP 2142-2143)

It would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to select each component and combine them as instantly claimed because Banowski et al. suggests that the instant components can be combined or mixed together. In a prior art reference it is not necessary for all of the possible compositions to be exemplified in order for the art to render an invention obvious.

Regarding the ratio limitations, Banowski et al. teach percentage ranges for said components which render the claimed ratios possible.

Regarding the limitation of a low volatility oil component, applicant has not defined what a 'low volatility' is. Therefore the examiner will be reading said limitation in its broadest sense. The disclosure of Banowski et al. teaches low volatility silicones as well as several specific compounds which may be considered having a low volatility.

Regarding the limitations to a yield point, Banowski et al. teach the same compositions which would necessarily have the same properties, including said yield point.

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Regarding the 'consisting essentially of' language, this is being interpreted as comprising as applicants have provided no direction as to what hydroxycarboxylic acids may be excluded.

From the teachings of the reference, it is apparent that one of ordinary skill in the art would have had a reasonable expectation of success in producing the claimed invention. Therefore, the invention as a whole would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made, as evidenced by the references, especially in the absence of evidence to the contrary.

2. Claims 62 and 63 are rejected under 35 U.S.C. 103(a) as being unpatentable over International Publication WO/2003/039505 to Banowski et al. in view of US Patent Publication 2002/0077372 to Gers-Barlag et al. For prosecution US Patent 7,294,330 to Banowski et al. will be used as an English language equivalent.

Applicant Claims

Applicant claims are delineated above and incorporated herein.

Determination of the Scope and Content of the Prior Art (MPEP §2141.01)

The teachings of Banowski et al. are delineated above and incorporated herein.

Ascertainment of the Difference between Scope the Prior Art and the Claims (MPEP §2141.012)

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Banowski et al. do not teach mixing said compositions and heating to phase transition then cooling, as claimed in claim 62 and 63. This deficiency in Banowski et al. is cured by Gers-Barlag et al. Gers-Barlag et al. teach processes for producing O/W emulsions for deodorant and antiperspirant compositions [44] and [45]. Gers-Barlag et al. also teach that such microemulsions may be produced by mixing the oil phase, water phase, and emulsifier and heating said compositions until phase inversion is met [0072], [0082], and [0099].

Finding of Prima Facie Obviousness Rational and Motivation

(MPEP §2142-2143)

Regarding claim 62, it would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to produce the formulations of Banowski et al. by mixing, heating to phase transition, then cooling, as taught by Gers-Barlag et al. in order to produce the invention of instant claim 62.

One of ordinary skill in the art would have been motivated to do this because Banowski et al. teach compositions in microemulsion form and Gers-Barlag et al. teach processes for the preparation of said emulsions. Therefore it would have been obvious to utilize the process of Gers-Barlag et al., to produce the formulations of Banowski et al.

Regarding claim 63, the presence of said emulsifier reads on this claim due to the fact that applicant is simply describing what an emulsifiers does when mixed with an oil phase and a water phase.

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From the teachings of the reference, it is apparent that one of ordinary skill in the art would have had a reasonable expectation of success in producing the claimed invention. Therefore, the invention as a whole would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made, as evidenced by the references, especially in the absence of evidence to the contrary.

3. Claims 46-56, 64-70, and 72-75 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent 6,042,816 to Shen in view of US Patent 5,571,841 to Yu et al.

Applicant Claims

Applicant claims are delineated above and incorporated herein.

Determination of the Scope and Content of the Prior Art (MPEP §2141.01)

Shen teaches compositions comprising enhanced antiperspirant salts, which reads on activated antiperspirants, alpha hydroxycarboxylic acids, and water (col. 4, lines 8-43 and col. 6, lines 45-57), as pertaining to claims 46, 68, and 74.

Shen further teaches aluminum chlorohydrate (col. 5, line 23 to col. 6, line 12) as pertaining to claims 47, 48, 68, and 74, an antiperspirant to hydroxycarboxylic acid ratio of 6.25:1 (table 2b), as pertaining to claims 49-51 and 69, 18-45% antiperspirant (col. 7, lines 16-51), as pertaining to claims 52-54 and 70, 2-10% hydroxycarboxylic acid (col. 6, line 66 to col. 7, line 3), as pertaining to claims 55, 56, and 70, said formulations in clear

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gel emulsion form (col. 13, lines 56-62), as pertaining to claims 57-59, 71, and 73, compositions comprising an oil phase, a water phase and less than 20% emulsifier (example 8), as pertaining to claim 59, said oil phase having a low volatility (example 8), as claimed in claim 50, and said compositions in antiperspirant formulations for topical application to the skin (col. 4, lines 39-41), as pertaining to claims 66, 72, and 74.

***Ascertainment of the Difference between Scope the Prior Art and the Claims
(MPEP §2141.012)***

Shen does not teach mandelic acid as claimed in claims 46, 67, 68, 74, and 75. This deficiency in Shen is cured by Yu et al. Yu et al. teach compositions, including antiperspirants (col. 2, lines 16-30) comprising mandelic acid (col. 3, line 20).

***Finding of Prima Facie Obviousness Rational and Motivation
(MPEP §2142-2143)***

Regarding claims 46, 67, 68, 74, and 75, it would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to produce the formulations of Shen with mandelic acid as taught by Yu et al. in order to produce the invention of instant claims 46, 67, 68, 74, and 75.

One of ordinary skill in the art would have been motivated to do this because Shen teaches antiperspirant compositions comprising hydroxycarboxylic acids and Yu et al. teach that alpha-hydroxycarboxylic acids, such as mandelic acid, may be added to antiperspirant formulations to increase efficacy. Therefore it would have been obvious

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to utilize the mandelic acid of Yu et al., in the formulations of Shen in order to produce a composition with increases efficacy.

Regarding the limitation of a yield point, Shen teaches the same formulations comprising the same components and percentages thereof. Therefore, Shen would necessarily have the same yield point as instantly claimed. A chemical composition and its properties are inseparable. Therefore, if the prior art teaches the same chemical structure, the properties applicant discloses and/or claims are necessarily present. In re Spada, 911 F.2d 705, 709, 15 USPQ2d 1655, 1658 (Fed. Cir. 1990).

Regarding the limitation of a hydrogel, a hydrogel is viewed to be a gel comprising water. The compositions of Shen comprise water and may be in gel form, therefore these compositions read on hydrogel.

Regarding the 'consisting essentially of' language, this is being interpreted as comprising as applicants have provided no direction as to which hydroxycarboxylic acids may be excluded.

From the teachings of the reference, it is apparent that one of ordinary skill in the art would have had a reasonable expectation of success in producing the claimed invention. Therefore, the invention as a whole would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made, as evidenced by the references, especially in the absence of evidence to the contrary.

4. Claims 57-63 and 71 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent 6,042,816 to Shen in view of US Patent 5,571,841 to Yu

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et al., as applied to claims 46 and 68, in further view of US Patent Publication 2002/0077372 to Gers-Barlag et al.

Applicant Claims

Applicant claims are delineated above and incorporated herein.

Determination of the Scope and Content of the Prior Art (MPEP §2141.01)

The teachings of Shen and Yu et al. are delineated above and incorporated herein.

Ascertainment of the Difference between Scope the Prior Art and the Claims (MPEP §2141.012)

Neither Shen nor Yu et al. teach microemulsions as claimed in claims 57-59 and 71. This deficiency in Shen and Yu et al. is cured by Gers-Barlag et al. Gers-Barlag et al. teach processes for producing O/W emulsions for deodorant and antiperspirant compositions [44] and [45].

Further, neither Shen nor Yu et al. teach percentages of emulsifiers as claimed in claim 59. This deficiency is cured by Gers-Barlag et al. Gers-Barlag et al. teach the utilization of 0.05-10% emulsifier for said emulsions [129].

Further, neither Shen nor Yu et al. teach low volatility oil components within said emulsions as claimed in claim 60. This deficiency is cured by Gers-Barlag et al. Gers-

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Barlag et al. teach the utilization of vegetable oils, which have a low volatility, for said emulsions [178].

Further, neither Shen nor Yu et al. teach polyethoxylated or polypropoxylated emulsifiers as claimed in claim 61. This deficiency is cured by Gers-Barlag et al. Gers-Barlag et al. teach the utilization of polyethoxylated alcohols as preferred emulsifiers for said emulsions [129].

Further, neither Shen nor Yu et al. teach methods of making said microemulsions as claimed in claims 62. This deficiency in Shen and Yu et al. is cured by Gers-Barlag et al. Gers-Barlag et al. teach that such microemulsions may be produced by mixing the oil phase, water phase, and emulsifier and heating said compositions until phase inversion is met [0072], [0082], and [0099].

Finding of Prima Facie Obviousness Rational and Motivation

(MPEP §2142-2143)

Regarding claims 57-59 and 71, it would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to produce the combined compositions of Shen and Yu et al. with microemulsions as taught by Gers-Barlag et al. in order to produce the invention of instant claims 57-59 and 71.

One of ordinary skill in the art would have been motivated to do this because Shen teaches antiperspirant compositions with emulsions and Gers-Barlag et al. teach microemulsions for antiperspirant compositions and methods of producing them.

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Therefore it would have been obvious to utilize the micoemulsions of Gers-Barlag et al., with the formulations of Shen and Yu et al. in order to utilize a microemulsion specifically for antiperspirants.

Regarding the percentage of emulsifier, it would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to produce the combined compositions of Shen and Yu et al. with less than 20% emulsifier as taught by Gers-Barlag et al. in order to produce the invention of instant claim 59.

One of ordinary skill in the art would have been motivated to do this because Gers-Barlag et al. teach micoemulsions for antiperspirant compositions and using less than 20% emulsifier in said formulations. Therefore it would have been obvious to utilize the emulsifier percentages of Gers-Barlag et al., with the formulations of Shen and Yu et al. in order to utilize a known amount of emulsifier.

Regarding the limitation of a low volatility oil component, applicant has not defined what a 'low volatility' is. Therefore the examiner will be reading said limitation in its broadest sense. It would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to produce the combined compositions of Shen and Yu et al. with a low volatility oil component as taught by Gers-Barlag et al. in order to produce the invention of instant claim 60.

One of ordinary skill in the art would have been motivated to do this because Gers-Barlag et al. teach producing microemulsions with low volatility oils. Therefore it would have been obvious to utilize the low volatility oils of Gers-Barlag et al., with the formulations of Shen and Yu et al. in order to utilize a known oil type.

Regarding claim 61, it would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to produce the combined compositions of Shen and Yu et al. with a polyethoxylated alcohol emulsifier as taught by Gers-Barlag et al. in order to produce the invention of instant claim 61.

One of ordinary skill in the art would have been motivated to do this because Gers-Barlag et al. teach the utilization of said emulsifiers in microemulsions for antiperspirant compositions. Therefore it would have been obvious to utilize the polyethoxylated emulsifiers of Gers-Barlag et al., with the formulations of Shen and Yu et al. in order to utilize a known emulsifier for such compositions.

Regarding claim 62, it would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to produce the combined compositions of Shen and Yu et al. with the processes as taught by Gers-Barlag et al. in order to produce the invention of instant claim 62.

One of ordinary skill in the art would have been motivated to do this because Gers-Barlag et al. teach processes for producing microemulsions for antiperspirant compositions. Therefore it would have been obvious to utilize the processes of Gers-Barlag et al., with the formulations of Shen and Yu et al. in order to produce a microemulsion known to be used for such formulations.

Regarding claim 63, the presence of said emulsifier reads on this claim due to the fact that applicant is simply describing what an emulsifier does when mixed with an oil phase and a water phase.

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From the teachings of the reference, it is apparent that one of ordinary skill in the art would have had a reasonable expectation of success in producing the claimed invention. Therefore, the invention as a whole would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made, as evidenced by the references, especially in the absence of evidence to the contrary.

Response to Arguments

Applicant's arguments with respect to all claims have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

Claims 46-75 are rejected.

No claims are allowed.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within

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TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Inquiries

Any inquiry concerning this communication or earlier communications from the examiner should be directed to LUKE E. KARPINSKI whose telephone number is (571)270-3501. The examiner can normally be reached on Monday Friday 9-5 est.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Johann R. Richter can be reached on 571-272-0646. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

LEK

/Mina Haghighatian/
Primary Examiner, Art Unit 1616